

12/10/01



PTO 05910/01

12-17-01

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PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	3100-00006
First Inventor	William H. Bauman
Title	Weeped End Plug for Sill Assembly
Express Mail Label No.	EL812733416US

PTO
05910/01
US \$595

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 13]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets 5]

5. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CLAIMED-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: / -

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or attach bar code label here)

or ☒ Correspondence address below

NAME	ANDRUS, SCEALES, STARKE & SAWALL, LLP				
ADDRESS	100 East Wisconsin Avenue Suite 1100				
CITY	Milwaukee	STATE	Wisconsin	ZIP CODE	53202-4178
COUNTRY	U.S.A.	TELEPHONE	(414) 271-7590	FAX	(414) 271-5770

Name (Print/Type)	Thomas M. Wozny	Registration No. (Attorney/Agent)	28,922
Signature	<i>Thomas M. Wozny</i>	Date	12-10-01

CERTIFICATE OF EXPRESS MAIL

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL POST OFFICE TO ADDRESSEE in an envelope addressed to Commissioner of Patents and Trademarks, Washington D.C., 20231 on this 10th day of December, 2001.

The Express Mail Label is **EL812733416US**



Dorothy Hauser

December 10, 2001

Date

PTO/SB/17

FEE TRANSMITTAL

COMPLETE IF KNOWN

Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

Herein

William H. Bauman

Total Amount of Payment (\$)

370.00

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

Deposit
Account
Name

01.2000

ANDRUS, SCEALES, STARKE & SAWALL, LLP

- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17

- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of Allowance. 37 CFR 1.311(b)

2. ☒ Payment Enclosed:

☒ Check☐ Money Order☐ Other

FEE CALCULATION (fees effective 10/01/96)

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 ¹⁶	740	201 370 Utility filing fee	\$370.00
106 ¹⁶	330	206 165 Design filing fee	
107 ¹⁶	510	207 255 Plant filing fee	
108 ¹⁶	740	208 370 Reissue filing fee	
114 ¹⁶	160	214 80 Provisional filing fee	

SUBTOTAL (1) (\$)

370.00

2. CLAIMS

Total claims	Fee From below	Fee Paid
15 - 20 = (5) X		
Independent Claims	2 - 3 = (1) X	

Multiple Dependent Claims

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103	18	203 9 Claims in excess of 20	
102	84	202 42 Independent claims in excess of 3	
104	280	204 140 Multiple dependent claim	
109	84	209 42 Reissue independent claims over original patent	
110	18	210 9 Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for examination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for response within first month	
116	400	216 200 Extension for response within second month	
117	920	217 460 Extension for response within third month	
118	1,440	218 720 Extension for response within fourth month	
128	1,960	228 980 Extension for response within fifth month	
119	300	218 150 Notice of Appeal	
120	300	220 150 Filing a brief in support of an appeal	
121	260	221 130 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive unavoidably abandoned application	
141	1,240	241 620 Petition to revive unintentionally abandoned application	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclos. Stmt.	
591	40	591 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR 1.29(a))	
149	710	249 355 For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY

Typed or Printed Name Thomas M. Wozny

Signature

Thomas M. Wozny

Date

12-10-2001

Complete (if applicable)

Registration Number

28,922

Deposit Account User ID